

MECHANISMS OF PLATELET ACTIVATION BY BIOMATERIALS AND FLUID SHEAR FLOW

Sri R. Madabhushi and Sriram Neelamegham*

Chemical and Biological Engineering, State University of New York, Buffalo, NY 14260

* Correspondence Author: neel@buffalo.edu

ABSTRACT

Severe defects in the heart or blood vessels leads to various cardiovascular diseases (CVD) and patients sometimes require biomaterial based implants to replace/overcome these defects. However, introduction of biomaterials into the patient's anatomy leads to bleeding and thrombosis complications. To date, the search for a completely non-thrombogenic surface is not complete. Various factors account for the challenges found in this regard: i. design factors of mechanical devices, especially at the sharp edges and connections, introduce non-physiological flow patterns, ii. blood protein responses to the biomaterial vary based on the specific biomaterial used in the vascular grafts; iii. multiple pathways are stimulated due to biomaterial-blood interactions which include interactions both at the protein and the cellular levels; iv. the pathways are intricate and inter-linked due to which perturbing a single reaction does not usually eliminate the problem; and v. the exact molecular mechanisms that trigger these thrombogenic processes is still not fully understood. Platelets are the key cellular players in both the hemostatic and thrombotic processes in blood. In this review, we describe an overall view of some of the thrombogenic processes initiated due to biomaterial-blood interactions, with focus on the role of von Willebrand factor in shear induced platelet activation and aggregation processes.

INTRODUCTION

Disorders of heart and blood vessels result in cardiovascular diseases (CVD) which are the leading cause of death in the world¹. Based on the cause and specific location of the defects, CVDs can be classified into various types. These include the defects in different blood vessels that supply blood to: the heart muscle (coronary heart disease), brain (cerebrovascular disease), arms and legs (peripheral arterial disease); or the damage of the heart muscle and valves from rheumatic fever (rheumatic heart disease); or birth defects of heart structure (congenital heart disease); or blood clots in legs and veins that can dislodge and move to heart and lungs (deep-vein thrombosis and pulmonary embolism). Various factors lead to the damage of the vascular system including inflammation, diabetes, high blood pressure, and unhealthy diet. In order to treat these deadly diseases, a variety of cardiovascular devices have been developed to replace or overcome these defects².

Implantable devices (both mechanical and bioprosthetic) have improved the treatments for various cardiovascular diseases. As required by the type of the disease, different kinds of devices are used. For example, in case of atherosclerosis which causes narrowing of the blood vessel wall, vascular bypass grafts are implanted³. Synthetic grafts, stents as well as heart valves (mechanical and bioprosthetic valves) are also widely used to treat various valve disorders. While these surgical implants have immensely improved the treatment of various CVDs, these are often associated with thromboembolic complications^{4, 5}. Often, patients receive anticoagulant medication which might induce vulnerability to hemorrhage⁶. Efforts in this regard, are being made towards developing non-thrombogenic biomaterial surfaces which might significantly improve the success rate of these vascular devices³.

Thrombogenicity of implantable vascular devices are determined by the material and blood properties that come in contact with each other, as well as the non-physiological flow conditions introduced in the body by these devices^{5, 7}. Platelets are the primary blood cells involved in physiological and pathological thrombus formation in circulation⁸. A better understanding of the molecular mechanisms that lead to platelet thrombus formation are essential to improve the performance of these devices in vivo. In this review, we describe the various mechanisms involved in thrombus formation in the context of biomaterials with major focus on the shear induced platelet activation and adhesion mediated by the blood protein von Willebrand factor (VWF). An overview of the concepts discussed in this article is provided in Figure 1.

NON-PHYSIOLOGICAL HYDRODYNAMIC CONDITIONS CAUSE PLATELET ACTIVATION

Platelets are anuclear, discoid shaped cell fragments derived from megakaryocytes in the bone marrow. They have an average diameter of 2-4 μm and circulatory concentrations of 150 - 400 $\times 10^6/\text{ml}$. Their primary role in circulation is to survey and maintain the integrity of vasculature⁹. This is facilitated by various ligand-receptor interactions which allow the platelets to sense and respond to various extracellular stimuli. Some of these include the collagen receptors GpIa/IIa and GpVI; von Willebrand factor receptors GpIb α and GpIbIIIa, Thrombin receptor, ADP receptor as well as the Thromboxane A₂ receptor⁵. In response to the stimuli, platelets get activated, adhere to the surface, and aggregate, release various compounds and proteins that initiate and amplify the coagulation process, which subsequently leads to thrombus formation.

To a large extent, fluid dynamics plays a significant role in determining the key players in thrombus formation¹⁰⁻¹². In contrast to red blood cells, platelets have a relatively rigid membrane and therefore experience higher shear stresses¹³. Venous thrombi contain greater concentrations of fibrin along with trapped red blood cells and few platelets and are called "red clots". In arterial circulation with comparatively higher shear regimes, the clots contain higher platelet number and lower fibrin content and are therefore called "white clots". Under physiological conditions, blood more or less follows a laminar flow regime. However, under pathological conditions of stenosis or in the presence of prosthetic devices, non-physiological flow patterns are introduced in the circulation⁷. These include regions of recirculation, shed vortices, turbulence as well as flow separation^{14, 15}.

Steps, sharp edges as well as gaps in the biomaterial are the usual design parameters that cause non-physiological flow conditions¹⁶. Following an artificial heart valve implantation, hemolysis and platelet activation are usually observed in these regions. Irregular flow patterns have been observed to cause platelet activation leading to thromboembolic complications^{17, 18}. For example, in case of mechanical heart valves, the connections between different components of the device as well as between the device and the normal vasculature contain various unavoidable irregularities. In the proximity of these step regions, Corbett et al. observed that larger steps, especially the negative step regions, support larger thrombus deposits¹⁹.

Platelets have a circulatory lifetime of 7 days during which they pass through the vascular grafts multiple times. Accumulation of damage on platelets over time due to the history of exposure to irregular flow conditions has been reported²⁰. Using a combination of experimental measurements and computation fluid dynamics, Alemu et al. described a cumulative study of the flow-induced platelet activation and damage accumulation in mechanical heart valves¹⁸. Hellums et al. and Shankaran et al. studied the time dependent shear stress mediated activation of platelets and described a threshold value of 35-50 dynes/cm² which results in detectable levels of platelet activation^{10, 20}. In this regard, bioprosthetic valves perform better by resulting in accumulated shear stress values 10% of that seen using mechanical heart valves²¹. While it is

not certain that the same platelets follow the same path during their multiple cycles through vascular grafts and whether damage recovery happens during their flow in normal circulation, multiple passages through the non-physiological flow regimes might result in damage accumulation of platelets.

The exact mechanism of how shear induces platelet activation is not fully understood. The next section of this review looks at the role of a blood glycoprotein, von Willebrand factor (VWF) in shear induced platelet activation, adhesion and aggregation.

VON WILLEBRAND FACTOR

von Willebrand factor (VWF) is the largest blood glycoprotein that plays an important role in shear induced platelet activation and aggregation^{10, 22, 23}. It is synthesized by endothelial cells and megakaryocytes and is constitutively present in circulation with an average concentration of 10 $\mu\text{g/mL}$ ²⁴. During its biosynthesis, the multi-domain VWF molecule forms 500 kDa C-terminal dimeric units in the endoplasmic reticulum. These dimeric units then undergo linear polymerization via inter-N-terminal disulfide bond formations to yield higher multimers with molecular weights ranging up to 20,000-40,000 kDa²⁵. Multimer size distribution of VWF is, in fact, crucial for facilitating effective shear induced platelet activation by the protein. Higher multimers have higher hemostatic potential. Freshly secreted VWF from endothelial cells have ultra large multimers (ULVWF) that can spontaneously bind to platelets²⁶. Presence of ULVWF leads to clot formations and faster clearance of platelets from circulation as seen in the case of Thrombotic Thrombocytopenic Purpura (TTP) patients²⁷. A VWF specific metalloprotease, called ADAMTS13 (a disintegrin and metalloproteinase with a thrombospondin type 1 motif, member 13), cleaves it and regulates the VWF size in circulation thus preventing spontaneous clot formation and platelet clearance²⁸⁻³⁰.

VWF binds to platelets in a shear dependent manner. While VWF and platelets are present together in circulation, no detectable interaction is observed between the two molecules unless at the sites of vascular injury or under high shear stress conditions. In solution, VWF assumes a ball-and-stick structure with the N-terminal domains (D'D3, A1, A2, A3) forming the globular ball region and the C-terminal domains forming the stick region, the D4 domain being positioned at the linker between the two regions²³. The arrangement of the domains in the globular section is thought to conceal the platelet receptor's binding epitope in the A1 domain³¹⁻³³ and the ADAMTS13 cleavage site in the A2 domain³⁴. At the sites of vascular injury, collagen of the sub-endothelial matrix gets exposed. VWF-A3 domain recognizes the exposed collagen and causes the immobilization of the protein at the injured blood vessel walls. The VWF multimers are then subjected to wall shear stress which probably unveils the A1 domain which then facilitates platelet adhesion at vascular injury site.

Multiple studies have looked at the role of shear stress in inducing conformational changes in VWF³⁵⁻³⁸. In this regard, using atomic force microscopy, Siedlecki et al. observed a transition from a globular structure to an extended chain form when VWF was subjected to shear stress $> 35 \text{ dyn/cm}^2$ on a hydrophobic surface³⁵. In our laboratory, multiple approaches have been used to gain insight into the aspects of fluid shear on VWF structure. Singh et al. employed small angle neutron scattering (SANS) to measure protein conformational changes in response to shear rates $< 3000 \text{ s}^{-1}$ ³⁷. SANS was employed in these studies since it provides structural information over wide length scales starting from domain-level features of $\sim 2 \text{ nm}$ to higher structural features of $\sim 100 \text{ nm}$. Quantitative modeling of SANS data showed that domain level rearrangements were induced by application of shear stress on VWF. Using the fluorescent probe, bis-ANS (4,4'-dianilino-1,1'-binaphthyl-5,5'-disulfonic acid), that binds to hydrophobic regions of a protein, Themistou et al. observed enhanced binding of bis-ANS to VWF subjected to fluid shear³⁸. The shear rate for exposure of hydrophobic domains was higher ($>45 \text{ dyn/cm}^2$)

and this suggests that multiple structural changes may occur when VWF is subjected to hydrodynamic shear. Together, these studies highlight the role of fluid shear in inducing structural changes in VWF.

That the binding of VWF to platelet receptor GpIb α under hydrodynamic shear causes shear induced platelet activation and aggregation was further examined in our laboratory. To this end, Shankaran et al. employed a cone-plate viscometer to subject a mixture of VWF and platelets to defined shear stress conditions and quantified Annexin V binding to measure platelet activation levels¹⁰. It was noted that within 10 seconds of shear application, detectable Annexin V binding to platelets was observed. As mentioned previously, VWF undergoes shear mediated conformational changes which facilitates its binding to platelets. Using static light scattering, an increase in the hydrodynamic radius of VWF was observed from a value of ~ 68 nm in the absence of shear to ~466 nm upon application of 6000 s⁻¹ shear for 120 s. It was hypothesized that structural changes in VWF leading to exposure of hydrophobic domains might also cause the self-association of VWF molecules increasing the size of VWF in solution. In this regard, Dayananda et al. used a recombinant VWF molecule with a deletion of the platelet binding A1 domain (Δ A1-VWF)²². Studies using this molecule demonstrated that Δ A1-VWF bound to platelets via self-association with exogenously added full-length VWF molecule. This indicates that application of fluid shear on VWF not only helps in exposure of the VWF-A1 domain, but also increases effective size of VWF via self-association. Presence of these large VWF molecules bound to GpIb α enhances the amount of hydrodynamic force applied on the GpIb α molecule (~ 10pN) which might then trigger mechanotransduction and cell activation^{10, 11}.

The physiological significance of VWF in mediating platelet adhesion, activation and aggregation might also be of importance in the context of biomaterials. In this regard, studies using monoclonal antibody (6D1) against the platelet receptor GpIb α showed significant inhibition of platelet retention on polyethylene (PE) surfaces³⁹. In vitro studies using artificial microvascular grafts made of polytetrafluoroethylene (PTFE) showed 82% reduction in platelet retention in the presence of 6D1⁴⁰. In vivo studies in guinea pigs using a F(ab')₂ fragment of anti-GpIb α monoclonal antibody, PG-1, showed significant prolongation of artificial microvascular graft patency (AMG)⁴¹. Additionally, longest prolongation of AMG patency was observed using a combination of GpIb α and thrombin inhibition.

It is, therefore, likely that von Willebrand factor mediated platelet activation and aggregation not only plays a critical role in physiological hemostasis, but also might be important in the context of the thromboembolic complications seen in case of non-physiological shear regimes in cardiovascular devices. In addition to its effect on platelet activation in solution, von Willebrand factor can also facilitate platelet adhesion on artificial surfaces by adsorbing onto these surfaces. The high on- and off-rates of VWF-A1 domain and GpIb α interaction makes it a suitable candidate in enabling platelet adhesion on surfaces²⁶.

In the next section of this review, we will briefly describe other mechanisms that can lead to thrombus formation on biomaterial surfaces.

PLASMA PROTEIN ADSORPTION ON BIOMATERIALS

Shortly after the biomaterials come in contact with blood, plasma proteins get adsorbed onto the surface based on both the protein as well as the surface properties⁵. Additionally, through interlinked series of events, the devices trigger activation of the coagulation cascade as well as activation and adhesion of different blood cells like the platelets and leukocytes.

Blood plasma contains about 300 proteins that make up 7% of its volume⁴². Concentrations of the constituent proteins vary over a dynamic range of 10 orders of magnitude, serum albumin being the most abundant protein. Within 5 seconds of exposure of the biomaterial surface to blood, the adsorption of plasma proteins onto surfaces is observed based on their

hydrophobic or hydrophilic properties. Thermodynamics of the protein-solvent-surface system greatly define the amount and type of protein adsorbed onto these surfaces⁴³. Surface immobilization results in about 1000 fold increase of protein concentrations in comparison to their concentration in solution. Additionally, the surface composition of adsorbed proteins is highly dynamic in nature and is described as “Vronman effect”⁴⁴. Interactions of blood cells with the biomaterial surface is mediated by this adsorbed protein layer. Protein adsorption in the context of thrombus formation will be briefly discussed here due to its relevance in cardiovascular device functionality.

The intrinsic coagulation pathway of the coagulation cascade begins with the contact activation of Factor XII⁴⁵. The biomaterial surface, in some cases, is thought to provide the required anionic surface for Factor XII activation. The intrinsic pathway consists of a series of steps wherein the inactive zymogen is activated. The starting reaction in this cascade involves the conversion of Factor XII to Factor XIIa, and this signal is amplified in the subsequent series of steps which results in the conversion of prothrombin to thrombin. Thrombin, then, facilitates the conversion of fibrinogen to fibrin which polymerizes and forms the mesh that constitutes a clot. Factor XII has been thought to play a minor role in hemostasis due to the lack of any phenotypic effects of its deficiency^{46, 47}. While the physiological blood vessel wall might not support contact activation of Factor XII, vascular grafts were found to have moderate levels of Factor XII adsorbed on them⁴⁸. However, the relative significance of the stimulation of the intrinsic coagulation pathway in the complications of vascular grafts is still unclear.

Tissue factor expression of activated monocytes initiates the extrinsic coagulation pathway⁴⁹. In vivo activation of monocytes was noted due to cardiopulmonary bypass. Factor VII, circulating in the blood, binds to the tissue factor expressed on these activated cells and this complex leads to the activation of Factor X and Factor IX⁵. These zymogens subsequently lead to the formation of thrombin. The ultimate product of both the intrinsic and extrinsic coagulation pathways is the generation of active thrombin. While the two pathways are not mutually exclusive, the time scales of their initiation are different. Since the intrinsic pathway only requires surface activation, it might proceed shortly after the blood-biomaterial contact happens. However, the extrinsic pathway might kick in at a later time since it relies on tissue factor production after the activation of the monocytes. The relative importance of these two processes on thrombosis might differ.

Among the other relevant proteins that adsorb onto vascular grafts and contribute to thrombosis are fibrinogen and von Willebrand factor. Fibrinogen is a plasma glycoprotein that circulates at a high concentration of 1.5-3 mg/mL⁵⁰. In addition to its contribution to thrombus formation by converting to fibrin via the action of thrombin, fibrinogen also facilitates platelet adhesion. Fibrinogen binds to the platelet integrin GpIIb/IIIa⁵¹. Other glycoproteins that can bind to the platelet receptor, GpIIb/IIIa include von Willebrand factor, fibronectin, thrombospondin and vitronectin. These proteins contain the RGD sequence required to bind to integrins. About 40-80,000 GpIIb/IIIa receptors are present on a resting platelet surface⁵². However, these receptors are in the inactive state. Binding of the platelet receptor to the adhesive glycoproteins adsorbed on the biomaterial surface requires the activation of the integrins. Thrombin, formed by the intrinsic or extrinsic coagulation pathways, is an agonist for platelet activation. This might facilitate the conversion of the integrin to an active state. Additionally, surface immobilization of the fibrinogen is thought to induce in a conformational change in it allowing it to bind to the low-affinity platelet receptor⁵³.

von Willebrand factor can facilitate platelet adhesion on surfaces without the prerequisite of platelet activation. The VWF receptor on platelets, GpIb α , is another abundant receptor with about 25,000 copies present on the platelet surface⁵⁴. The VWF-A1 and GpIb α interaction is characterized by a fast ON and a fast OFF rate. This allows platelet recruitment on surfaces

especially in the presence of high shear conditions. Once the platelets are slowed down on surfaces, be the injured vasculature of probably even on biomaterial surfaces, firm adhesion happens through other ligand-receptor interactions. von Willebrand factor and fibrinogen also act as molecular bridges between two platelets causing platelet aggregation. In this regard, monoclonal antibodies against GpIIb-IIIa as well as GpIb α were observed to be potent inhibitors of platelet deposition on artificial surfaces³⁹. Although bleeding and thrombocytopenic complications were observed, clinical trials demonstrated an improvement in the graft performance. Further understanding with respect to identifying the case/device specific problems and developing strategies to make the surfaces non-thrombogenic is required.

EFFORTS TO PRODUCE NON-THROMBOGENIC CARDIOVASCULAR DEVICES

Non-thrombogenicity of biomaterial surfaces, to some extent, has been achieved using few strategies. In this regard, surfaces coated with anticoagulants like heparin, hirudin, thrombin inhibitors and tissue factor pathway inhibitors have been explored^{3; 55-58}. However, these have met with limited success due to their shortcomings. Heparin is an anticoagulant which binds to antithrombin III and causes conformational changes in the protein which then facilitates the inactivation of various coagulation factors including thrombin⁵⁹. Clinical studies showed significant improvements in graft patency using heparin coated vascular grafts⁵⁵. However, heparin targets only one of the thrombin formation mechanisms and also binds to various growth factors and matrix proteins leading to some side effects. Hirudin, a protein derived from leech, is a natural inhibitor of thrombin. Hirudin coated surfaces showed thrombus reduction in in vitro as well as a few in vivo studies^{56; 60}. While in vivo effects of other thrombin inhibitors is still under investigation, currently all the trials have been unsuccessful in completely eliminating the thromboembolic risks due to the multi-pathway intricate system that maintains hemostasis in the body.

Endothelial cells are the best non-thrombogenic surfaces known in nature⁶¹. They i. synthesize and secrete nitric oxide and prostaglandin I₂ that inhibit platelet adhesion; ii. produce tissue-type plasminogen activator (t-PA) that degrades fibrin; iii. bind ectonucleotidases that hydrolyze ADP; iv. contain a glycocalyx layer which consists of heparin sulfate proteoglycan that serves as a co-factor of antithrombin III; and v. synthesize tissue factor pathway inhibitor (TFPI). Due to its success as the natural non-thrombogenic material, attempts have been made towards manufacturing tissue engineered cardiovascular grafts which have an endothelial monolayer covering them. Collagen and synthetic matrix have been used as the underlying structural base for these grafts³. However, in vivo success of these implants has been limited. Some of the problems include loss of the seeded endothelial cells within 24 h and limited patency⁶². This could probably be addressed by the recent attempts ensued by various groups in incorporating biophysical and biochemical modifications in the material to improve endothelial cell retention, and or achieving in situ endothelialization of vascular implants^{63; 64}. Additional studies towards this aspect might reveal new insights towards addressing with the thrombogenesis issues of biomaterials.

CONCLUSION

Hemostasis is in itself a complex process which involves a fine balance between the pro- and anti-coagulation factors in circulation. These factors also play an important role in the thrombogenesis of biomaterials using in cardiovascular implants. Introduction of biomaterials in the body results in the generation of complex non-physiological flow patterns as well as adsorption of proteins on the surfaces that cause platelet activation, adhesion and aggregation, as well as thrombus formation. von Willebrand factor is a key player in mediating both shear

induced platelet activation in solution as well as on surfaces. However, this is just a small part of the entire complex mechanism. In order to succeed in making a truly non-thrombogenic surface, multiple mechanisms must be utilized similar to how the endothelial cells achieve this phenomenon.

FOOTNOTES

Supported by N.I.H. grants HL77258 and HL103411

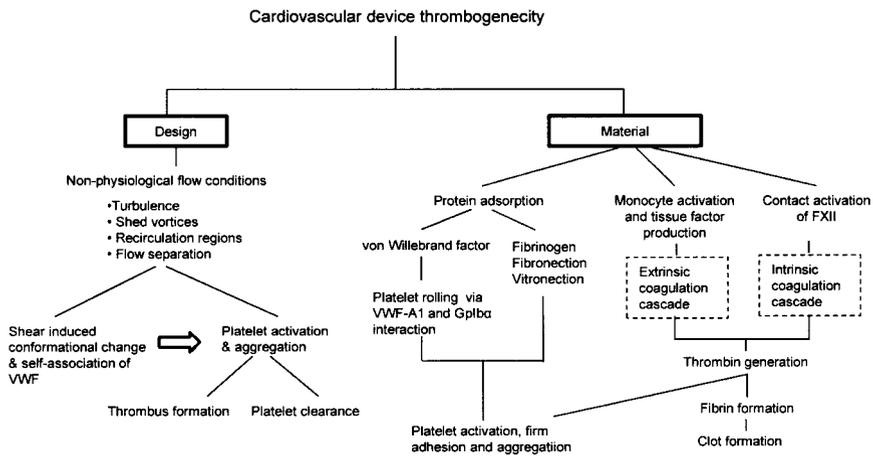


Figure 1. Overview of the some of the major factors that lead to thrombogenicity of cardiovascular devices

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