## **Artificial Intelligence**

## Lab 8

Machine Learning Algorithms ID3 DBscan

# Agenda

Decision tree.

• ID3

Clustering

DBSCAN Algorithm.

# **Decision Trees**

- The idea is to partition input space into a disjoint set of regions and to use a very simple predictor for each region.
- For classification simply predict the most frequent class in the region

# **Play tennis training data**

- Hard to guess.
- Divide & Conquer: •
  - split into subsets
  - are they are • pure? (all yes or all no)
  - if yes: stop.
  - If no: repeat.
- See which subset new data falls into

Training examples:		
Day	Outlook	
D1	Sunny	
D2	Sunny	
D3	Overcast	
D4	Rain	
D5	Rain	
D6	Rain	
D7	Overcast	
D8	Sunny	
D9	Sunny	
D10	Rain	
D11	Sunny	
D12	Overcast	
D13	Overcast	
D14	Rain	
	1	

9 yes / 5 no

Humidity	Wind	Play
High	Weak	No
High	Strong	No
High	Weak	Yes
High	Weak	Yes
Normal	Weak	Yes
Normal	Strong	No
Normal	Strong	Yes
High	Weak	No
Normal	Weak	Yes
Normal	Weak	Yes
Normal	Strong	Yes
High	Strong	Yes
Normal	Weak	Yes
High	Act <b>Strong</b> Go to Settings to	dc <mark>Nô</mark> activate Wi

#### New Data

D15

Rain

High

weak

# **Decision Tree Representation**

- Each internal node tests an attribute.
- Each branch corresponds to attribute value.
- Each leaf node make a prediction.







# Which attribute to split on



- Want to measure "purity" of the split
  - more certain about Yes/No after the split
    - pure set (4 yes / 0 no) => completely certain (100%)
    - impure (3 yes / 3 no) => completely uncertain (50%)
  - can't use P("yes" | set):
    - must be symmetric: 4 yes / 0 no as pure as 0 yes / 4 no

Activate Windows

# Entropy

• Entropy:  $H(S) = -p_{(+)} \log_2 p_{(+)} - p_{(-)} \log_2 p_{(-)}$  bits

– S ... subset of training examples

 $-p_{(+)} / p_{(-)} \dots \%$  of positive / negative examples in S

- Interpretation: assume item X belongs to S

   how many bits need to tell if X positive or negative
- impure (3 yes / 3 no):

$$H(S) = -\frac{3}{6}\log_2\frac{3}{6} - \frac{3}{6}\log_2\frac{3}{6} = 1$$
 bits

pure set (4 yes / 0 no):

$$H(S) = -\frac{4}{4}\log_2\frac{4}{4} - \frac{0}{4}\log_2\frac{0}{4} = 0$$
 bits



9/5  
Outlook  
2/3  
4/0  
Vercast  
H(S) = - p<sub>(+)</sub> log<sub>2</sub> p<sub>(+)</sub> - p<sub>(-)</sub> log<sub>2</sub> p<sub>(-)</sub>  
H(Outlook) = 
$$-\frac{9}{14} \log_2 \frac{9}{14} - \frac{5}{14} \log_2 \frac{5}{14}$$
  
H(Sunny) =  $-\frac{2}{5} \log_2 \frac{2}{5} - \frac{3}{5} \log_2 \frac{3}{5}$   
H(Overcast) =  $-\frac{4}{4} \log_2 \frac{4}{4} - \frac{0}{4} \log_2 \frac{0}{4}$   
H(Rain) =  $-\frac{3}{5} \log_2 \frac{3}{5} - \frac{2}{5} \log_2 \frac{2}{5}$ 

# **Information Gain**

V ... possible values of A S ... set of examples {X}  $S_v$  ... subset where  $X_A = V$ 

Expected drop in entropy after split:

Want many items in pure sets.

$$Gain(S,A) = H(S) - \sum_{V \in Values(A)} \frac{|S_V|}{|S|} H(S_V)$$
  

$$Gain(S, Wind) = H(S) - \frac{8}{14} H(S_{weak}) - \frac{6}{14} H(S_{strong}) = 0.94 - \frac{8}{14} \cdot 0.81 - \frac{6}{14} \cdot 1.0 = 0.049$$
  

$$Wind Example -\frac{9}{14} \log_2 \frac{9}{14} - \frac{5}{14} \log_2 \frac{5}{14} \cdot 9 \text{ yes / 5 no} H(S) = 0.94$$
  

$$Wind = \frac{9}{14} \log_2 \frac{9}{14} - \frac{5}{14} \log_2 \frac{5}{14} \cdot 9 \text{ yes / 5 no} H(S) = 0.94$$
  

$$Weak = \frac{6}{14} \log_2 \frac{8}{14} - \frac{5}{14} \log_2 \frac{5}{14} \cdot 9 \text{ yes / 5 no} H(S) = 0.94$$
  

$$Wind = \frac{6}{14} \log_2 \frac{8}{14} - \frac{5}{14} \log_2 \frac{5}{14} \cdot 9 \text{ yes / 5 no} H(S) = 0.94$$
  

$$Weak = \frac{6}{14} \log_2 \frac{8}{14} - \frac{5}{14} \log_2 \frac{2}{14} - \frac{5}{14} \log_2 \frac{5}{14} \cdot 9 \text{ yes / 5 no} H(S) = 0.94$$
  

$$H(S) = 0.94$$
  

$$H(S) = 0.94$$
  

$$H(S) = 0.94$$
  

$$H(S_{weak}) = 0.81$$
  

$$H(S_{strong}) = 1.0$$



- H(Outlook) =  $-\frac{9}{14}\log_2\frac{9}{14} \frac{5}{14}\log_2\frac{5}{14}$
- Gain(Outlook) = H(Outlook)  $\sum_{v \in Outlook} \frac{S_v}{S} H(Sv)$
- Gain(Outlook) = H(Outlook)  $(\frac{5}{14}$  H(Sunny) +  $\frac{4}{14}$  H(Overcast) +  $\frac{5}{14}$  H(Rain))

# Similarly,

### Note: Highest gain is always selected.

Gain(Humidity)=0.151 Gain(Outlook)=0.246 Gain(Wind)=0.048



# **ID3 Algorithm**

- Split (node, {examples}):
  - 1. A ← the best attribute for splitting the {examples}
  - 2. Decision attribute for this node  $\leftarrow$  A
  - 3. For each value of A, create new child node
  - 4. Split training {examples} to child nodes
  - If examples perfectly classified: STOP else: iterate over new child nodes Split (child\_node, {subset of examples})



#### 1. Create a root node

2. Calculate the entropy of the whole (sub) dataset

 Calculate the Information gain of each single feature and pick that feature with the larges Information gain

4. Assign the (root) node the label of the feature with the maximum information gain. Grow for each feature value an outgoing branch and add unlabelled nodes at the end

> Split the dataset along the values of the maximum information gain feature and remove this feature from the dataset

 For each of the sub\_datasets, repeat steps
 to 5 until a stopping criteria is satisfied →Here the recursion kicks in



# What is a Clustering?

In general a grouping of objects such that the objects in a group (cluster) are similar (or related) to one another and different from (or unrelated to) the objects in other groups



# DBSCAN: Density-Based Clustering

DBSCAN is a Density-Based Clustering algorithm

Reminder: In density based clustering we partition points into dense regions separated by not-so-dense regions.

#### **Important Questions:**

- How do we measure density?
- What is a dense region?

#### **DBSCAN**:

- Density at point p: number of points within a circle of radius Eps
- Dense Region: A circle of radius Eps that contains at least MinPts points

# **Dbscan model**parameters

Eps : defines the radius of neighborhood around a point x. It's called the epsilon-neighborhood of x.

The parameter MinPts is the minimum number of neighbors within "eps" radius.



# DBSCAN

**Characterization of points** 

# Density=number of points within a specified radius r (Eps)

- A point is a core point if it has more than a specified number of points (MinPts) within Eps
  - These points belong in a dense region and are at the interior of a cluster
- A border point has fewer than MinPts within Eps, but is in the neighborhood of a core point.
- A noise point is any point that is not a core point or a border point.

# **DBSCAN: Core, Border, and Noise Points**



# **DBSCAN: Core, Border and Noise Points**





**Original Points** 

Point types: core, border and noise

Eps = 10, MinPts = 4

# **Density-Connected points**

Density edge

 We place an edge between two core points q and p if they are within distance Eps.

#### **Density-connected**

 A point p is density-connected to a point q if there is a path of edges from p to q





# **DBSCAN Algorithm**

- Label points as core, border and noise Eliminate noise points
- For every core point p that has not been assigned to a cluster
  - Create a new cluster with the point p and all the points that are density-connected to p.

Assign border points to the cluster of the closest core point.

```
DBSCAN(D, epsilon, min_points):

C = 0

for each unvisited point P in dataset

mark P as visited

sphere_points = regionQuery(P, epsilon)

if sizeof(sphere_points) < min_points

ignore P

else

C = next cluster

expandCluster(P, sphere_points, C, epsilon, min_points)
```

```
expandCluster(P, sphere_points, C, epsilon, min_points):

add P to cluster C

for each point P' in sphere_points

if P' is not visited

mark P' as visited

sphere_points' = regionQuery(P', epsilon)

if sizeof(sphere_points') >= min_points

sphere_points = sphere_points joined with sphere_points'

if P' is not yet member of any cluster

add P' to cluster C
```

regionQuery(P, epsilon):

return all points within the n-dimensional sphere centered at P with radius epsilon (including P)

# **When DBSCAN Works Well**



**Original Points** 

Clusters

- Resistant to Noise
- Can handle clusters of different shapes and sizes



# Advantages & Disadvantages of DBSCAN

Advantages:

- Unlike K-means, DBSCAN not required to specify number of clusters to be generated.
- Find any shape of clusters
- Can identify the outliers

Disadvantages:

- Does not work well with high dimensional datasets
- Parameters selections are tricky

# Hands on

# Open Dbscan algorithm template and complete the DBSCAN & Expand functions

# **Questions?**